## Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of	f Listed Entity: Nuvama Wealth Management Limited
2.	Scrip Co	de/Name of Scrip/Class of Security:
3.	Share H	olding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
	a.	If under 31(1)(b) then indicate the report for Quarter ending
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declarat	tion: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities?		No
3	Whether the Listed Entity has issued any Warrants?		No
4	Whether the Listed Entity has any shares against which depository receipts are issued?		No
5	Whether the Listed Entity has any shares in locked-in?	Yes	
6	Whether any shares held by promoters are pledge or otherwise encumbered?		No
7	Whether company has equity shares with differential voting rights?		No
8	Whether the listed entity has any significant beneficial owner?		No

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

inuvama v																		
	Wealth Management Limited																	
Table I - Sur	immary Statement holding of specified securities																	
												NO. OT	Snarenoi			Number o	f Shares	
							Sharehold					Shares	ding , as			pledged o		
							ing as a %	Number of V	/oting Pigl	nts held in ea	ch class of	Underlyi	a %	Number of I		otherwise		
							of total	securities	voting itigi	its neid in ea	CII Class OI	ng	accumina	shares		encumber		
				No. of	No. of		no. of	securities				Outstand	full	Silaies		encumber	eu	Number of
			No. of	Partly	shares		shares					ing	conversio					equity
			fully paid	paid-up	underlyin		(calculate	No of Voting	Rights			convertib	n of		As a % of		As a % of	shares held
		Nos. of	up equity	equity	g		d as per		, <b>g</b>		Total as a	le	convertib		total		total	in
		sharehol	shares	shares	Depositor	Total nos.	SCRR,		Class eg:		% of	securities	le		Shares		Shares	demateriali
Category Cat	ategory of shareholder	ders	held	held	y Receipts	shares held	1957)	Class eg: X	y	Total	(A+B+C)	(includin	securities	No. (a)	held(b)	No. (a)	held(b)	sed form
(1)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a		(1	X)		(X)	(XI)=	(XI	I)	(X	III)	(XIV)
(A) Pro	omoter & Promoter Group	6	19707345	0	0	19707345	56.1872	19707345	0	19707345	56.1872	0	56.1872	19707345	100	0	0.0000	19707345
(B) Pul	ıblic	95063	14865980	0	0	14865980	42.3841	14865980	0	14865980	42.3841	0	42.3841	4838366	32.5466	NA	NA	14865980
(C) No	on Promoter - Non Public				0				0			0			0.0000	NA	NA	
(C1) Sha	ares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C2) Sha	ares Held By Employee Trust	2	501132	0	0	501132	1.4287	501132	0	501132	1.4287	0	1.4287	0	0.0000	NA	NA	501132
Tot	otal	95071	35074457	0	0	35074457	100.0000	35074457	0	35074457	100.0000	0	100.0000	24545711	0.0000	0	0.0000	35074457

Statement showing shareholding pattern of the P	romoter and Pi	omoter	Group															1
					No. of		Sharehol ding % calculate			ights held i		Underlyi ng	a % assuming	Number of Locked in shares		pledged o	Number of equity	
			fully paid	paid-up	_	Total	d as per SCRR,	No of Vot	ing Rights		% of	convertib	n of					
									Class on:			le securities						demateri alised
Category & Name of the shareholders	Entity Type	ders							y		_					No. (a)		form
(1)		(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a		(1	X)		(X)	(XI)=	()	(II)	(X	III)	(XIV)
Indian																		
ndividuals / Hindu Undivided Family		4	4	0	0	4	0	4	0	4	0	0	0	4	0.0000	0	0.0000	4
Prashant Shashikant Mody	Promoter	1	1	0	0	1	0.0000	1	0	1	0.0000	0	0.0000	1	0.0000	0	0.0000	1
/ishal Yogendra Ved	Promoter	1	1	0	0	1	0.0000	1	0	1	0.0000	0	0.0000	1	0.0000	0	0.0000	1
Atul Bapna	Promoter	1	1	0	0	1	0.0000	1	0	1	0.0000	0	0.0000	1	0.0000	0	0.0000	1
Keyur Yogesh Ajmera	Promoter	1	1	0	0	1	0.0000	1	0	1	0.0000	0	0.0000	1	0.0000	0	0.0000	1
Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
inancial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Any Other (Specify)		1	315277	0	0	315277	0.8989	315277	0	315277	0.8989	0	0.8989	315277	100.0000	0	0.0000	315277
Asia Pragati Strategic Investment Fund	Promoter Group	1	315277	0	0	315277	0.8989	315277	0	315277	0.8989	0	0.8989	315277	100.0000	0	0.0000	315277
Sub Total (A)(1)		5	315281	0	0	315281	0.8989	315281	0	315281	0.8989	0	0.8989	315281	100.0000	0	0.0000	315281
Foreign																		
ndividuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Government		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
nstitutions		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
oreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Any Other (Specify)		1	19392064	0	0	19392064	55.2883	19392064	0	19392064	55.2883	0	55.2883	0	0.0000	0	0.0000	19392064
Bodies Corporate																		
PAGAC Ecstasy Pte. Ltd.	Promoter	1	19392064	0	0	19392064	55.2883	19392064	0	19392064	55.2883	0	55.2883	19293064	100.0000	0	0.0000	19392064
Sub Total (A)(2)		1	19392064	0	0	19392064	55.2883	19392064	0	19392064	55.2883	0	55.2883	19293064	100.0000	0	0.0000	19392064
• • • • • • • • • • • • • • • • • • • •		6	19707345	0	0	19707345	56.1872	19707345	0	19707345	56.1872	0	56.1872	19707345	100	0	0.0000	19707345
	Category & Name of the shareholders (I) Indian Individuals / Hindu Undivided Family Perashant Shashikant Mody Vishal Yogendra Ved Atul Bapna Keyur Yogesh Ajmera Central Government / State Government(s) Financial Institutions / Banks Any Other (Specify) Asia Pragati Strategic Investment Fund Sub Total (A)(1) Foreign	Category & Name of the shareholders  (I)  Indian  Individuals / Hindu Undivided Family  Prashant Shashikant Mody  Promoter  Atul Bapna  Promoter  Atul Bapna  Promoter  Atul Bapna  Promoter  Atul Bapna  Promoter  Central Government / State Government(s)  Financial Institutions / Banks  Any Other (Specify)  Asia Pragati Strategic Investment Fund  Promoter Group  Sub Total (A)(1)  Foreign  Individuals (Non-Resident Individuals / Foreign Individuals)  Government  Institutions  Foreign Portfolio Investor  Any Other (Specify)  Bodies Corporate  Promoter  Promoter  Any Other (Specify)  Bodies Corporate  Promoter  Promoter	Category & Name of the shareholders  (I)  Indian  Individuals / Hindu Undivided Family  Prashant Shashikant Mody  Promoter  Atul Bapna  Promoter  Atul Bapna  Promoter  1  Aceyur Yogesh Ajmera  Central Government / State Government(s)  Financial Institutions / Banks  Any Other (Specify)  Asia Pragati Strategic Investment Fund  Promoter Group  1  Sub Total (A)(1)  Foreign  Individuals (Non-Resident Individuals / Foreign Individuals)  O Government  O Institutions  O Government  Promoter Group  Individuals (Non-Resident Individuals / Foreign Individuals)  O Government  O Institutions  O Institutions	No. of fully paid up equity sharesholders  (I)  Indian  (II)  Indian  Individuals / Hindu Undivided Family  Atul Bapna  Promoter  Atul Bapna  Promoter  I  I  Central Government / State Government(s)  Financial Institutions / Banks  Any Other (Specify)  Asia Pragati Strategic Investment Fund  Promoter Group  I  I  II  II  II  II  II  II  II  II	No. of fully paid up aid-up up equity shares ders held held held held held held held held	No. of shares   No. of share	No. of shares   No. of share	No. of sharehol ding % calculate   No. of sharehol des   No. of sharehol des   No. of sharehol des   No. of sharehol des   No. of sharehol ding % calculate   No. of sharehol des   No. of sharehol des   No. of sharehol ding % calculate   No. of sharehol des   No. of sharehol des   No. of sharehol ding % calculate   No. of sharehol des   No. of sharehol ding % calculate   No. of sharehol ding % cal	No. of shares   No. of share	No. of shares   No. of share	No. of shareholders	No. of shareholders   Entity Type   ders   held   held	No. of Sharehol Long   No. of No. of Sharehol Long   No. of Sharehol Long   No. of	No. of fully paid paid-up up equity (pully paid paid-up up equity (pull) paid (paid-up up equity (paid-up	No. of tally a paid-up (ii)   No. of shareholders   Entity Type   ders held held (iii)   (iv)   (i	No. of shares   No. of share	Number of Voting Rights held in each   Shareholding %   Shareholding %	No. of shares   No. of share

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

### Note:

(1) PAN would not be displayed on website of Stock Exchange(s)
(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

#### Nuvama Wealth Management Limited Table III - Statement showing shareholding pattern of the Public shareholder Number of Shares Shares ding, as oledged or Sharehol Underlyi Number of Voting Rights held in each **Number of Locked** otherwise ding % No. of assuming Number class of securities in shares encumbered Sub-categorization of shares shares calculate Outstand full of equity No. of Partly ınderlvir d as per Total as shares ing conversion Shareholding(No. of shares) ully paid paid-up SCRR, % of As a % of held in Total convertil n of As a % No of Voting Rights under up equity equity 1957 As Total onvertib total total demateri Deposito nos. Class eg: Class eg: Voting % of Shares Shares alised category( category( category sharehol shares shares shares securities le Category & Name of the shareholders held held held (A+B+C2) Rights (includin securities No. (a) held(b) held(b) Total form Receipts (III) (IV) (V) (VI) (VII) = (VIII) As a (IX) (X) (XI)= (XII) (XIII) (XIV) (XV) Institutions (Domestic) **Mutual Fund** 0.0000 0 0.0000 0.0000 0.0000 NA 0.0000 (b) Venture Capital Funds 0 0.0000 0 0.0000 0.0000 NA NA 2064 2064 0.0059 2064 2064 0.0059 0.0059 0.0000 NA NA 2064 Alternate Investment Funds (c) 0 Banks 0.0000 0.0000 0.0000 0.0000 NA (d) 0 NA Insurance Companies 275012 .7841 275012 275012 0.7841 0.7841 0.0000 (e) 275012 NA NA 275012 (f) Provident Funds/ Pension Funds .0000 0.0000 0.0000 0.0000 NA NA (G) Asset Reconstruction Companies 0.0000 0.0000 0.0000 0.0000 NA (h) Sovereign Wealth Funds 0.0000 0.0000 0.0000 0.0000 NA NΔ NBFCs registered with RBI 1217 0.0035 1217 0.0035 0.0035 0.0000 NA 1217 1217 0 NA 1217 Other Financial Institutions 0 0.0000 0 0 0.0000 0.0000 0.0000 NA NA 0 Any Other (Specify) 0.0000 0.0000 (k) 0.0000 0.0000 NA NA Sub Total (B)(1) 10 278298 278298 0.7934 278298 278298 0.7934 0.7934 0.0000 NA NA 278298 0 Institutions (Foreign) Foreign Direct Investment 0.0000 0.0000 0.000 0.000 (a) 0 NA Foreign Venture Capital Investors 0.0000 0.0000 0.0000 0.0000 (b) NA n 0 NA Sovereign Wealth Funds 0.0000 0.0000 0.0000 0.0000 NA NA (d) Foreign Portfolio Investors Category I 2795411 0 2795411 7.9699 795411 0 2795411 7.9699 7.9699 0.0000 NA 2795411 Baron Emerging Markets Fund 386540 886540 .1021 86540 386540 1.1021 1.1021 NA 386540 Foreign Portfolio Investors Category II 16 502501 502501 .4327 502501 0 502501 1.4327 1.4327 0.0000 NA NA 502501 411658 1.1737 411658 0 411658 1.1737 1.1737 0.0000 NA NA 411658 0 Bih Sa 411658 Overseas Depositories(holding DRs) (balancing figure) 0.0000 0.0000 0.0000 0.0000 NA Any Other (Specify) 0.0000 0.0000 0.0000 0.0000 NA NA (g) 3297912 9.4026 9.4026 Sub Total (B)(2) 134 3297912 0 3297912 0 3297912 9.4026 0.0000 NA NA 3297912 0 Central Government/ State Government(s) Central Government / President of India 0.0000 0.0000 0.0000 0.0000 NA 0.0000 (b) State Government / Governor 28 0.0001 28 0.0001 0.0001 NA NA 28 28 0 Shareholding by Companies or Bodies Corporate where Central / State Government is (C) a promoter 0.0000 0.0000 0.0000 0.0000 NA NA Sub Total (B)(3) 28 28 0.0001 0 28 0.0001 0.0001 0.0000 NA NA 28 Non-Institutions 0.0000 0 0.0000 0.0000 0.0000 NA NA 0 lo n (a) Associate companies / Subsidiaries 0.0000 0.0000 0.0000 0.0000 NA NA Directors and their relatives (excluding Independent Directors and nominee Directors) 1 89007 0.2538 89007 89007 0.2538 0.2538 0.0000 89007 89007 NA 0.0002 0.0000 Key Managerial Personnel 0.0002 56 56 0.0002 NA NA (C) 56 56 0 56 Relatives of promoters (other than 'immediate relatives' of promoters disclosed under (D) 'Promoter and Promoter Group' category) 0.0000 0.0000 0.0000 0.0000 NA NA Trusts where any person belonging to 'Promoter and Promoter Group' category is 'trustee', 'beneficiary', or 'author of the trust" 0.0000 0.0000 0.0000 0.0000 Investor Education and Protection Fund (IEPF) 1 527 527 0.0015 527 0 527 0.0015 0.0015 0.0000 NA NA 527 i. Resident Individual holding nominal share capital up to Rs. 2 lakhs. 89599 1596840 1596840 4.5527 1596840 0 1596840 4.5527 4.5527 16171 1.0127 NA 1596840 0

ii. Resident individual holding nominal share capital in excess of Rs. 2 lakhs.

16

3499387

3499387 9.9770

3499387 0

3499387 9.9770

9.9770

0.0000

NA

3499387 0

		-																			$\overline{}$
	Rashesh Chandrakant Shah		1625191	0	0	1625191	4.6335	1625191	0	1625191	4.6335	0	4.6335	0	0.0000	NA	NA	1625191	0	0	0
	Venkatchalam A Ramaswamy		664987	0	0	664987	1.8959	664987	0	664987	1.8959	0	1.8959	0	0.0000	NA	NA	664987	0	0	0
(i)	Non Resident Indians (NRIs)	2000	243667	0	0	243667	0.6947	243667	0	243667	0.6947	0	0.6947	0	0.0000	NA	NA	243667	0	0	0
(j)	Foreign Nationals	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(k)	Foreign Companies	1	870	0	0	870	0.0025	870	0	870	0.0025	0	0.0025	0	0.0000	NA	NA	870	0	0	0
(I)	Bodies Corporate	663	5683729	0	0	5683729	16.2048	5683729	0	5683729	16.2048	0	16.2048	4822195	84.8421	NA	NA	5683729	0	0	0
	Ecap Equities Ltd	1	2987740	0	0	2987740	8.5183	2987740	0	2987740	8.5183	0	8.5183	2987740	100.0000	NA	NA	2987740			1
	Edel Finance Company Limited	1	1834455	0	0	1834455	5.2302	1834455	0	1834455	5.2302	0	5.2302	1834455	100.0000	NA	NA	1834455			
	Mabella Trustee Services Private Limited	1	432523	0	0	432523	1.2332	432523	0	432523	1.2332	0	1.2332	0	0.0000	NA	NA	432523			
(m)	Any Other (Specify)	2636	175659	0	0	175659	0.5008	175659	0	175659	0.5008	0	0.5008	0	0.0000	NA	NA	175659	0	0	0
	Trusts	6	1421	0	0	1421	0.0041	1421	0	1421	0.0041	0	0.0041	0	0.0000	NA	NA	1421	0	0	0
	Body Corp-Ltd Liability Partnership	70	105426	0	0	105426	0.3006	105426	0	105426	0.3006	0	0.3006	0	0.0000	NA	NA	105426	0	0	0
	Hindu Undivided Family	2554	68543	0	0	68543	0.1954	68543	0	68543	0.1954	0	0.1954	0	0.0000	NA	NA	68543	0	0	0
	Clearing Member	6	269	0	0	269	0.0008	269	0	269	0.0008	0	0.0008	0	0.0000	NA	NA	269	0	0	0
	Sub Total (B)(4)	94918	11289742	0	0	11289742	32.1879	11289742	0	11289742	32.1879	0	32.1879	4838366	42.8563	NA	NA	11289742	0	0	0
	Total Public Shareholding (B)=																				
	(B)(1)+(B)(2)+(B)(3)+b(4)	95063	14865980	0	0	14865980	42.3841	14865980	0	14865980	42.3841	o	42.3841	4838366	32.5466	NA	NA	14865980	o	0	0

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of shareholders	%
0	

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

_	
	No. of shareholders
	0

#### Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no.(XIII) is not applicable in the above format.
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.
- (4) Categorization and disclosure of each shareholder category should be carried out in the order prescribed in the above format. If a shareholder is falling under more than one category, then the same shall be
- (5) Sub-categorization of shares under column no.(XV) will be based on shareholding(no. of shares)under the following sub-categories:
- (i) Shareholder who are represented by a nominee Director on the board of the listed entity or have the right to nominate a representative(i.e. Director) on the board of the listed entity.
- (ii) Shareholder who have entered into shareholder agreement with the listed entity.
- (iii) Shareholders acting as persons in concert with promoters.

Nuvam	na Wealth Management Limited																	
Table IV	- Statement showing shareholding pattern of the N	lon Prom	oter- No	n Public s	sharehold	leı												
												No. or	Snarenoi			Number o	of Shares	
							Sharehol					Shares Underlyin	ding, as			pledged o	r	
					No. of		ding %	Number o	of Voting R	ights held	in each			Number o	f Locked	otherwise	1	Number
					shares		calculate	class of se	curities			Б Outstand	assuming full	in shares	•	encumbe	red	of equity
			No. of	Partly	underlyin		d as per					ing	conversio					shares
			fully paid	paid-up	g	Total	SCRR,	No of Vot	ing Rights			convertib	n of		As a % of		As a % of	held in
		Nos. of	up equity	equity	Deposito	nos.	1957 As a				Total as a	le	convertib		total		total	demateri
		sharehol	shares	shares	ry	shares	% of	Class eg:	Class eg:		% of	securities	le		Shares		Shares	alised
	Category & Name of the shareholders	ders	held	held	Receipts	held	(A+B+C2)	Х	у	Total	(A+B+C)	(includin	securities	No. (a)	held(b)	No. (a)	held(b)	form
	(1)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a		(	IX)		(X)	(XI)=	(X	(II)	()	(III)	(XIV)
1	Custodian/DR Holder	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Employee Benefit Trust / Employee Welfare Trust under																	
	SEBI (Share based Employee Benefits and Sweat Equity)																	
2	Regulations, 2021	2	501132	0	0	501132	1.4288	501132	0	501132	1.4288	0	1.4288	0	0.0000	NA	NA	501132
	Nikhilesh Natwarlal Panchal*	1	419634	0	0	419634	1.1964	419634	0	419634	1.1964	0	1.1964	0	0	NA	NA	419634
	Shilpa Soti**	1	81498	0	0	81498	0.2323	81498	0	81498	0.2323	0	0.2323	0	0	NA	NA	81498
	Total Non-Promoter- Non Public Shareholding (C)=																	
	(C)(1)+(C)(2)	2	501132	0	0	501132	1.4288	501132	0	501132	1.4288	0	1.4288	0	0.0000	NA	NA	501132

<sup>\*</sup> Trustee of Edelweiss Employees' Welfare Trust

Trust

#### Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no.(XIII) is not applicable in the above format.
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.

<sup>\*\*</sup> Trustee of Edelweiss Employees' Incentives and Welfare

# **DETAILS OF SIGNIFICANT BENEFICIAL OWNERS - Not Applicable**

Sr. N	Detai SBO		of IDatails of Registered I			Details of holding/ exercise of right of the SBO in the reporting Company, whether direct or indirect*:  Whether by virtue of:								
	Nam e	Nation ality	Name	Nationality	Shares	ting Rights	Rights on distributa ble dividend or any other distributio n	Exercise of Control	Exercise of significant	creation/ acquisition of significant beneficial interest				

For Nuvama Wealth Management Limited (formerly known as Edelweiss Securities Limited)

Sneha Patwardhan Company Secretary